$\frac{1}{2}$ 

# Effective types: examples (P1796R0)

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This is a collection of examples exploring the semantics that should be allowed for objects and subobjects in allocated regions – especially, where the defined/undefined-behaviour boundary should be, and how that relates to compiler alias analysis. The examples are in C, but much should be similar in C++. We refer to the ISO C notion of effective types, but that turns out to be quite flawed. Some examples at the end (from Hubert Tong) show that existing compiler behaviour is not consistent with type-changing updates.

This is an updated version of part of n2294 C Memory Object Model Study Group: Progress Report, 2018-09-16.

# <sup>19</sup> 1 INTRODUCTION

Paragraphs 6.5p{6,7} of the standard introduce *effective types*. These were added to
C in C99 to permit compilers to do optimisations driven by type-based alias analysis,
by ruling out programs involving unannotated aliasing of references to different types
(regarding them as having undefined behaviour). However, this is one of the less clear,
less well-understood, and more controversial aspects of the standard, as one can see from
various GCC and Linux Kernel mailing list threads<sup>1</sup>, blog postings<sup>2</sup>, and the responses to
Questions 10, 11, and 15 of our survey<sup>3</sup>. See also earlier committee discussion<sup>4</sup>.

Moreover, the ISO text seems not to capture existing mainstream compiler behaviour. 28The ISO text (recalled below) is in terms of the types of the lvalues used for access, but 29compilers appear to do type-based alias analysis based on the construction of the lvalues, 30 not just the types of the lvalues as a whole. Additionally, some compilers seem to differ 31from ISO in requiring syntactic visibility of union definitions in order to allow accesses to 32 structures with common prefixes inside unions. The ISO text also leaves several questions 33 unclear, e.g. relating to memory initialised piece-by-piece and then read as a struct or 34 array, or vice versa. 35

Additionally, several major systems software projects, including the Linux Kernel, the FreeBSD Kernel, and PostgreSQL disable type-based alias analysis with the -fno-strict-aliasing compiler flag. The semantics of this (as for other dialects of C) is currently not specified by the ISO standard; it is debatable whether it would be useful to do that.

understanding-strict-aliasing.html, http://davmac.wordpress.com/2010/02/26/c99-revisited/,
 http://dbp-consulting.com/tutorials/StrictAliasing.html, and http://stackoverflow.com/questions/
 2958633/gcc-strict-aliasing-and-horror-stories

- **50** 2019.
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<sup>&</sup>lt;sup>12</sup> <sup>2</sup> http://blog.regehr.org/archives/959, http://cellperformance.beyond3d.com/articles/2006/06/

<sup>48 &</sup>lt;sup>4</sup>http://www.open-std.org/jtc1/sc22/wg14/www/docs/n1409.htm and http://www.open-std.org/jtc1/ 49 sc22/wg14/www/docs/n1422.pdf (p14)

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## 53

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## 1.1 The ISO standard text

 $_{54}$   $\,$  The C11 standard says, in 6.5:  $\,$ 

6 The *effective type* of an object for an access to its stored value is the declared type 55of the object, if any<sup>87)</sup>. If a value is stored into an object having no declared type 56through an lvalue having a type that is not a character type, then the type of the 57lvalue becomes the effective type of the object for that access and for subsequent 58accesses that do not modify the stored value. If a value is copied into an object having 59no declared type using memcpy or memmove, or is copied as an array of character type, 60 then the effective type of the modified object for that access and for subsequent 61 62accesses that do not modify the value is the effective type of the object from which the value is copied, if it has one. For all other accesses to an object having no declared 63 type, the effective type of the object is simply the type of the lvalue used for the 6465access.

- <sup>66</sup> 7 An object shall have its stored value accessed only by an lvalue expression that has
   <sup>67</sup> one of the following types:<sup>88)</sup>
- 68 a type compatible with the effective type of the object,
- 69 a qualified version of a type compatible with the effective type of the object,
- a type that is the signed or unsigned type corresponding to the effective type of the object,
- a type that is the signed or unsigned type corresponding to a qualified version of
  the effective type of the object,
- an aggregate or union type that includes one of the aforementioned types among its
   members (including, recursively, a member of a subaggregate or contained union),
   or
  - a character type.
- <sup>78</sup> Footnote 87) Allocated objects have no declared type.

Footnote 88) The intent of this list is to specify those circumstances in which an object
may or may not be aliased.

As Footnote 87 says, allocated objects (from malloc, calloc, and presumably any fresh space from realloc) have no declared type, whereas objects with static, thread, or automatic storage durations have some declared type.

For the latter, 6.5p{6,7} say that the effective types are fixed and that their values can only be accessed by an lvalue that is similar ("compatible", modulo signedness and qualifiers), an aggregate or union containing such a type, or (to access its representation) a character type.

For the former, the effective type is determined by the type of the last write, or, if that is done by a memcpy, memmove, or user-code char array copy, the effective type of the source.

# <sup>91</sup> 92 EFFECTIVE TYPE EXAMPLES

## 93 2.1 Basic Effective Types

## Q73. Can one do type punning between arbitrary types?

This basic example involves a write of a **uint32\_t** that is read as a **float** (assuming that the two have the same size, and, unchecked in the code, that the latter does not require a stronger alignment constraint, and that casts between those two pointer types are implementation-defined to work). The example is clearly and uncontroversially forbidden by the standard text, and this fact is exploited by current compilers, which use the types of the arguments of f to reason that pointers **p1** and **p2** cannot alias.

102 // effective\_type\_1.c

```
#include <stdio.h>
105
        #include <inttypes.h>
106
        #include <assert.h>
107
        void f(uint32_t *p1, float *p2) {
108
          *p1 = 2;
109
          *p2 = 3.0; // does this have defined behaviour?
          printf("f: *p1 = %" PRIu32 "\n",*p1);
110
        }
111
        int main() {
112
          assert(sizeof(uint32_t) == sizeof(float));
113
          uint32_t i = 1;
114
          uint32_t *p1 = &i;
115
          float *p2;
116
          p2 = (float *)p1;
117
          f(p1, p2);
118
          printf("i=%" PRIu32 " *p1=%" PRIu32
119
                 " *p2=%f\n",i,*p1,*p2);
120
        }
121
```

With -fstrict-aliasing (the default for GCC), GCC assumes in the body of f that the write to \*p2 cannot affect the value of \*p1, printing 2 (instead of the integer value of the representation of 3.0 that would the most recent write in a concrete semantics): while with -fno-strict-aliasing it does not assume that. The former behaviour can be justified by regarding the program as having undefined behaviour, due to the write of the uint32\_t i with a float lvalue.

129 2.2 Structs and their members

#### Q91. Can a pointer to a structure alias with a pointer to one of its members?

In this example f is given a pointer to a structure and an aliased pointer to its first member, writing via the struct pointer and reading via the member pointer. We presume this is intended to be allowed. The ISO text permits it if one reads the first bullet "a type compatible with the effective type of the object" as referring to the **int** subobject of s and not the whole st typed object s, but the text is generally unclear about the status of subobjects.

```
// effective_type_2c.c
138
       #include <stdio.h>
139
        typedef struct { int i; } st;
140
        void f(st* sp, int* p) {
141
          sp -> i = 2;
142
          *p = 3;
143
          printf("f: sp->i=%i *p=%i\n",sp->i,*p); // prints 3,3 not 2,3 ?
144
       }
145
       int main() {
146
          st s = \{.i = 1\};
          st *sp = &s;
147
          int *p = &(s.i);
148
          f(sp, p);
149
          printf("s.i=%i sp->i=%i *p=%i\n", s.i, sp->i, *p);
150
       }
151
152
     Q76. After writing a structure to a malloc'd region, can its members can be
153
```

accessed via pointers of the individual member types?

Draft of June 18, 2019

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The examples below write a struct into a malloc'd region then read one of its members, first using a a pointer constructed using **char** \* arithmetic, and then cast to a pointer to the member type, and second constructed from **p** cast to a pointer to the struct type. We presume both should be allowed.

The types of the lvalues used for the member reads are the same, so by the 6.5p6,7 text this should make no difference, but a definition of effective types that matches current TBAA practice, by taking lvalue construction into account, may need to take care to permit this.

```
165
        // effective_type_5.c
        #include <stdio.h>
166
        #include <stdlib.h>
167
        #include <stddef.h>
168
        #include <assert.h>
169
        typedef struct { char c1; float f1; } st1;
170
        int main() {
171
          void *p = malloc(sizeof(st1)); assert (p != NULL);
172
          st1 s1 = { .c1='A', .f1=1.0};
173
          *((st1 *)p) = s1;
174
          float *pf = &(((st1 *)p)->f1);
          // is this free of undefined behaviour?
175
          float f = *pf;
176
          printf("f=%f\n",f);
177
        }
178
179
        // effective_type_5d.c
180
        #include <stdio.h>
181
        #include <stdlib.h>
182
        #include <stddef.h>
183
        #include <assert.h>
184
        typedef struct { char c1; float f1; } st1;
185
        int main() {
          void *p = malloc(sizeof(st1)); assert (p != NULL);
186
          st1 s1 = { .c1='A', .f1=1.0};
187
          *((st1 *)p) = s1;
188
          float *pf = (float *)((char*)p + offsetof(st1,f1));
189
          // is this free of undefined behaviour?
190
          float f = *pf;
191
          printf("f=%f\n",f);
192
        }
193
```

Q93. After writing all members of structure in a malloc'd region, can the structure be accessed as a whole? Our reading of C11 and proposal for C2x: C11: yes (?)

The examples below write the members of a struct into a malloc'd region and then read the struct as a whole. In the first example, the lvalues used for the member writes are constructed using **char** \* arithmetic, and then cast to the member types, while in the second, they are constructed from **p** cast to a pointer to the struct type.

Similarly to Q76 above, the types of the lvalues used for the member writes are the same, so by the 6.5p6,7 text this should make no difference, but a definition of effective types that matches current TBAA practice, by taking lvalue construction into account, may need to take care to permit this.

```
// effective_type_5c.c
```

207 208

```
#include <stdio.h>
209
       #include <stdlib.h>
210
       #include <stddef.h>
211
       #include <assert.h>
212
        typedef struct { char c1; float f1; } st1;
213
        int main() {
          void *p = malloc(sizeof(st1)); assert (p != NULL);
214
          char *pc = &((*((st1*)p)).c1);
215
          *pc = 'A';
216
          float *pf = &((*((st1*)p)).f1);
217
          *pf = 1.0;
218
          st1 *pst1 = (st1 *)p;
219
          st1 s1;
220
                        // is this free of undefined behaviour?
          s1 = *pst1;
221
          printf("s1.c1=%c s1.f1=%f\n", s1.c1, s1.f1);
222
       }
223
224
       // effective_type_5b.c
225
       #include <stdio.h>
       #include <stdlib.h>
226
       #include <stddef.h>
227
       #include <assert.h>
228
        typedef struct { char c1; float f1; } st1;
229
        int main() {
230
          void *p = malloc(sizeof(st1)); assert (p != NULL);
231
          char *pc = (char*)((char*)p + offsetof(st1, c1));
232
          *pc = 'A';
233
          float *pf = (float *)((char*)p + offsetof(st1,f1));
234
          *pf = 1.0;
          st1 *pst1 = (st1 *)p;
235
          st1 s1;
236
          s1 = *pst1;
                        // is this free of undefined behaviour?
237
          printf("s1.c1=%c s1.f1=%f\n", s1.c1, s1.f1);
238
       }
239
240
```

#### 241 2.3 Isomorphic Struct Types

# Q92. Can one do whole-struct type punning between distinct but isomorphic structure types in an allocated region?

This example writes a value of one struct type into a malloc'd region then reads it via a pointer to a distinct but isomorphic struct type.

We presume this is intended to be forbidden. The ISO text is not clear here, depending on how one understands subobjects, which are not well-specified.

```
249
        // effective_type_2b.c
        #include <stdio.h>
250
        #include <stdlib.h>
251
        typedef struct { int i1; } st1;
252
        typedef struct { int i2; } st2;
253
        int main() {
254
          void *p = malloc(sizeof(st1));
255
          st1 *p1 = (st1 *)p;
256
          *p1 = (st1)\{.i1 = 1\};
257
          st2 *p2 = (st2 *)p;
258
          st2 s2 = *p2;
                             // undefined behaviour?
259
260
```

```
261 \\ 262
```

```
printf("s2.i2=%i\n",s2.i2);
}
```

The above test discriminates between a notion of effective type that only applies to the leaves, and one which takes struct/union types into account.

The following variation does a read via an lvalue merely at type **int**, albeit with that lvalue constructed via a pointer of type **st2** \*. This is more debatable. For consistency with the apparent normal implementation practice to take lvalue construction into account, it should be forbidden.

```
269
        // effective_type_2d.c
270
        #include <stdio.h>
271
        #include <stdlib.h>
272
        typedef struct { int i1; } st1;
273
        typedef struct { int i2; } st2;
        int main() {
274
          void *p = malloc(sizeof(st1));
275
          st1 *p1 = (st1 *)p;
276
          *p1 = (st1)\{.i1 = 1\};
277
          st2 *p2 = (st2 *)p;
278
          int *pi = &(p2->i2); // defined behaviour?
279
          int i = *pi;
                                // defined behaviour?
280
          printf("i=%i\n",i);
281
        }
282
```

The following variation does a read via an lvalue merely at type **int**, constructed by offsetof pointer arithmetic. This should presumably be allowed.

```
285
        // effective_type_2e.c
        #include <stdio.h>
286
        #include <stdlib.h>
287
        typedef struct { int i1; } st1;
288
        typedef struct { int i2; } st2;
289
        int main() {
290
          void *p = malloc(sizeof(st1));
291
          st1 *p1 = (st1 *)p;
292
          *p1 = (st1)\{.i1 = 1\};
293
          st2 *p2 = (st2 *)p;
294
          int *pi = (int *)((char*)p + offsetof(st2,i1));
295
          int i = *pi;
                                // defined behaviour?
          printf("i=%i\n",i);
296
        }
297
298
```

299 Q74. Can one do type punning between distinct but isomorphic structure 300 types?

Here f is given aliased pointers to two distinct but isomorphic struct types, and uses them both to access an **int** member of a struct. We presume this is intended to be forbidden, and GCC appears to assume that it is, printing f: s1p->i1 = 2.

However, the two lvalue expressions, s1p->i1 and s2p->i2, are both of the identical (and hence "compatible") int type, so the ISO text appears to allow this case. To forbid it, we have to somehow take the construction of the lvalues into account, to see the types of s1p and s2p, not just the types of s1p->i1 and s2p->i2.

```
308 // effective_type_2.c
309 #include <stdio.h>
310 typedef struct { int i1; } st1;
311
312
```

```
typedef struct { int i2; } st2;
313
        void f(st1* s1p, st2* s2p) {
314
          s1p->i1 = 2;
315
          s2p -> i2 = 3;
316
          printf("f: s1p->i1 = %i\n",s1p->i1);
317
        }
        int main() {
318
          st1 s = \{.i1 = 1\};
319
          st1 * s1p = &s;
320
          st2 * s2p;
321
          s2p = (st2*)s1p;
322
          f(s1p, s2p); // defined behaviour?
323
          printf("s.i1=%i s1p->i1=%i s2p->i2=%i\n",
324
                 s.i1,s1p->i1,s2p->i2);
325
        }
326
```

#### <sup>327</sup> <sub>328</sub> 2.4 Isomorphic Struct Types – additional examples

329 It's not clear whether these add much to the examples above; if not, they should probably330 be removed.

Q80. After writing a structure to a malloc'd region, can its members be accessed via a pointer to a different structure type that has the same leaf member type at the same offset?

```
// effective_type_9.c
335
       #include <stdio.h>
336
       #include <stdlib.h>
337
       #include <stddef.h>
338
       #include <assert.h>
339
        typedef struct { char c1; float f1; } st1;
340
        typedef struct { char c2; float f2; } st2;
341
        int main() {
342
          assert(sizeof(st1)==sizeof(st2));
343
          assert(offsetof(st1,c1)==offsetof(st2,c2));
          assert(offsetof(st1,f1)==offsetof(st2,f2));
344
          void *p = malloc(sizeof(st1)); assert (p != NULL);
345
          st1 s1 = { .c1='A', .f1=1.0};
346
          *((st1 *)p) = s1;
347
          // is this free of undefined behaviour?
348
          float f = ((st2 *)p)->f2;
349
          printf("f=%f\n",f);
350
       }
351
```

<sup>352</sup> Q94. After writing all the members of a structure to a malloc'd region, via
 member-type pointers, can its members be accessed via a pointer to a different
 structure type that has the same leaf member types at the same offsets?

```
// effective_type_9b.c
356
        #include <stdio.h>
357
        #include <stdlib.h>
358
        #include <stddef.h>
359
        #include <assert.h>
360
        typedef struct { char c1; float f1; } st1;
361
        typedef struct { char c2; float f2; } st2;
362
        int main() {
363
364
```

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```
assert(sizeof(st1)==sizeof(st2));
365
          assert(offsetof(st1,c1)==offsetof(st2,c2));
366
          assert(offsetof(st1,f1)==offsetof(st2,f2));
367
          void *p = malloc(sizeof(st1)); assert (p != NULL);
368
          char *pc = (char*)((char*)p + offsetof(st1, c1));
369
          *pc = 'A';
          float *pf = (float *)((char*)p + offsetof(st1,f1));
370
          *pf = 1.0;
371
          // is this free of undefined behaviour?
372
          float f = ((st2 *)p)->f2;
373
          printf("f=%f\n",f);
374
        }
375
```

<sup>376</sup> Here there is nothing specific to st1 or st2 about the initialisation writes, so the read of <sup>377</sup> f should be allowed.

```
378
        // effective_type_9c.c
379
        #include <stdio.h>
380
        #include <stdlib.h>
381
        #include <stddef.h>
382
        #include <assert.h>
383
        typedef struct { char c1; float f1; } st1;
384
        typedef struct { char c2; float f2; } st2;
385
        int main() {
          assert(sizeof(st1)==sizeof(st2));
386
          assert(offsetof(st1,c1)==offsetof(st2,c2));
387
          assert(offsetof(st1,f1)==offsetof(st2,f2));
388
          void *p = malloc(sizeof(st1)); assert (p != NULL);
389
          st1 * pst1 = (st1*)p;
390
          pst1->c1 = 'A';
391
          pst1 - f1 = 1.0;
392
          float f = ((st2 *)p)->f2; // is this free of undefined behaviour?
393
          printf("f=%f\n",f);
394
        }
395
```

Here the construction of the lvalues used to write the structure members involves st1, but the lvalue types do not. The 6.5p6,7 text is all in terms of the lvalue types, not their construction, so in our reading of C11 this is similarly allowed.

# <sup>399</sup>400 2.5 Effective types and representation-byte writes

401 The ISO text explicitly states that copying an object "as an array of character type" carries402 the effective type across:

"If a value is copied into an object having no declared type using memcpy or memmove, or is copied as an array of character type, then the effective type of the modified object for that access and for subsequent accesses that do not modify the value is the effective type of the object from which the value is copied, if it has one."

407 The first two examples below should therefore both be allowed, using memcpy to copy
408 from an int in a local variable and in a malloc'd region (respectively) to a malloc'd region,
409 and then reading that with an int\* pointer.

```
410
// effective_type_4b.c
411
#include_cctdia_b>
```

```
411 #include <stdio.h>
412 #include <stdlib h>
```

```
412 #include <stdlib.h>
412 #include <stdlib.h>
```

```
413 #include <string.h>
414 int main() {
```

414 int main() {
415

```
416
```

```
int i=1;
417
          void *p = malloc(sizeof(int));
418
          memcpy((void*)p, (const void*)(&i), sizeof(int));
419
          int *q = (int*)p;
420
          int j=*q;
          printf("j=%d\n",j);
421
422
        }
423
424
        // effective_type_4c.c
425
        #include <stdio.h>
        #include <stdlib.h>
426
        #include <string.h>
427
        int main() {
428
          void *o = malloc(sizeof(int));
429
          *(int*)o = 1;
430
          void *p = malloc(sizeof(int));
431
          memcpy((void*)p, (const void*)o, sizeof(int));
432
          int *q = (int*)p;
433
          int j=*q;
434
          printf("j=%d\n",j);
        }
435
436
       The following variant of the first example should also be allowed, copying as an unsigned
437
     character array rather than with the library memcpy.
438
        // effective_type_4d.c
439
        #include <stdio.h>
440
        #include <stdlib.h>
441
        #include <string.h>
442
        void user_memcpy(unsigned char* dest,
443
                          unsigned char *src, size_t n) {
444
          while (n > 0) {
445
            *dest = *src:
446
            src += 1; dest += 1; n -= 1;
447
          }
        }
448
        int main() {
449
          int i=1;
450
          void *p = malloc(sizeof(int));
451
          user_memcpy((unsigned char*)p, (unsigned char*)(&i), sizeof(int));
452
          int *q = (int*)p;
453
          int j=*q;
454
          printf("j=%d\n",j);
455
        }
456
```

Should representation byte writes with other integers affect the effective type? The first example below takes the result of a memcpy'd **int** and then overwrites all of its bytes with zeros before trying to read it as an **int**. The second is similar, except that it tries to read the resulting memory as a **float** (presuming the implementation-defined fact that these have the same size and alignment, and that pointers to them can be meaningfully interconverted). The first should presumably be allowed. It is unclear to us whether the second should be allowed or not.

```
464// effective_type_4e.c465#include <stdio.h>466#include <stdlib.h>467
```

468

```
#include <string.h>
469
        int main() {
470
          int i=1;
471
          void *p = malloc(sizeof(int));
472
          memcpy((void*)p, (const void*)(&i), sizeof(int));
473
          int k:
          for (k=0;k<sizeof(int);k++)</pre>
474
            *(((unsigned char*)p)+k)=0;
475
          int *q = (int*)p;
476
          int j=*q;
477
          printf("j=%d\n",j);
478
        }
479
480
        // effective_type_4f.c
481
        #include <stdio.h>
482
        #include <stdlib.h>
483
        #include <string.h>
484
        #include <assert.h>
485
        int main() {
          int i=1;
486
          void *p = malloc(sizeof(int));
487
          memcpy((void*)p, (const void*)(&i), sizeof(int));
488
          int k;
489
          for (k=0;k<sizeof(int);k++)</pre>
490
            *(((unsigned char*)p)+k)=0;
491
          int *q = (int*)p;
492
          assert(sizeof(float)==sizeof(int));
493
          assert(_Alignof(float)==_Alignof(int));
494
          float f=*a:
495
          printf("f=%f\n",f);
496
        }
497
498
           Unsigned character arrays
     2.6
499
500
     Q75. Can an unsigned character array with static or automatic storage dura-
```

<sup>500</sup> Q75. Can an unsigned character array with static or automatic storage duration be used (in the same way as a 'malloc''d region) to hold values of other types?

This seems to be forbidden by the ISO text, but we believe it is common in practice. Question 11 of our survey relates to this.

A literal reading of the effective type rules prevents the use of an unsigned character array as a buffer to hold values of other types (as if it were an allocated region of storage). For example, the following has undefined behaviour due to a violation of 6.5p7 at the access to \*fp. (This reasoning relies on the implementation-defined property that the conversion of the (float \* )c cast gives a usable result – the conversion is permitted by 6.3.2.3p7 but the standard text only guarantees a roundtrip property.)

```
// effective_type_3.c
512
       #include <stdio.h>
513
       #include <stdalign.h>
514
        int main() {
515
          _Alignas(float) unsigned char c[sizeof(float)];
516
          float *fp = (float *)c;
517
          *fp=1.0; // does this have defined behaviour?
          printf("*fp=%f\n",*fp);
518
519
520
```

}

521

549

```
522
       Even bytewise copying of a value via such a buffer leads to unusable results in the
523
     standard:
524
       // effective_type_4.c
525
       #include <stdio.h>
526
       #include <stdlib.h>
527
        #include <string.h>
528
        #include <stdalign.h>
529
        int main() {
530
          _Alignas(float) unsigned char c[sizeof(float)];
          // c has effective type char array
531
          float f=1.0;
532
          memcpy((void*)c, (const void*)(&f), sizeof(float));
533
          // c still has effective type char array
534
          float *fp = (float *) malloc(sizeof(float));
535
          // the malloc'd region initially has no effective type
536
          memcpy((void*)fp, (const void*)c, sizeof(float));
537
          // does the following have defined behaviour?
538
          // (the ISO text says the malloc'd region has effective
539
          // type unsigned char array, not float, and hence that
540
          // the following read has undefined behaviour)
541
          float g = *fp;
          printf("g=%f\n",g);
542
        }
543
```

This seems to be unsupportable for a systems programming language: a character array and malloc'd region should be interchangeably usable, either on-demand or by default. GCC developers commented that they essentially ignore declared types in alias analysis because of this.

For C2X, we believe there has to be some (local or global) mechanism to allow this.

## <sup>550</sup> 2.7 Overlapping structs in malloc'd regions

Q79. After writing one member of a structure to a malloc'd region, can a mem ber of another structure, with footprint overlapping that of the first structure,
 be written?

```
555
        // effective_type_8a.c
556
        #include <stdio.h>
557
        #include <stdlib.h>
558
        #include <stddef.h>
559
        #include <assert.h>
560
        typedef struct { char c1; float f1; } st1;
        typedef struct { char c2; float f2; } st2;
561
        int main() {
562
          assert(sizeof(st1)==sizeof(st2));
563
          assert(offsetof(st1,c1)==offsetof(st2,c2));
564
          assert(offsetof(st1,f1)==offsetof(st2,f2));
565
          void *p = malloc(sizeof(st1)); assert (p != NULL);
566
          ((st1 *)p)->c1 = 'A';
567
          // is this free of undefined behaviour?
568
          ((st2 *)p)->f2 = 1.0;
569
          // is this defined, and always prints 'A' ?
          printf("((st1 *)p)->c1 = '%c'\n", ((st1 *)p)->c1);
570
571
572
```

```
}
573
574
575
       // effective_type_8b.c
       #include <stdio.h>
576
        #include <stdlib.h>
577
       #include <stddef.h>
578
       #include <assert.h>
579
        typedef struct { char c1; float f1; } st1;
580
        typedef struct { char c2; float f2; } st2;
581
        int main() {
582
          assert(sizeof(st1)==sizeof(st2));
583
          assert(offsetof(st1,c1)==offsetof(st2,c2));
584
          assert(offsetof(st1,f1)==offsetof(st2,f2));
          void *p = malloc(sizeof(st1)); assert (p != NULL);
585
          ((st1 *)p)->c1 = 'A';
586
          // is this free of undefined behaviour?
587
          ((st2 *)p) - f2 = 1.0;
588
          // is this defined, and always prints 'A' ?
589
          printf("((st2 *)p)->c2 = '%c'\n", ((st2 *)p)->c2);
590
        }
591
```

Again this is exploring the effective type of the footprint of the structure type used to form the lvalue. We presume this should be allowed – from one point of view, it is just a specific instance of the strong (type changing) updates that C permits in malloc'd regions.

<sup>596</sup> 2.8 Effective types and uninitialised reads

600

<sup>598</sup> Q77. Can a non-character value be read from an uninitialised malloc'd re-<sup>599</sup> gion?

```
// effective_type_6.c
601
        #include <stdio.h>
602
        #include <stdlib.h>
603
        #include <stddef.h>
604
        #include <assert.h>
        int main() {
605
          void *p = malloc(sizeof(float)); assert (p != NULL);
606
          // is this free of undefined behaviour?
607
          float f = *((float *)p);
608
          printf("f=%f\n",f);
609
        }
610
611
       The effective type rules seem to deem this undefined behaviour.
612
        // effective_type_6b.c
613
        #include <stdio.h>
614
        #include <stdlib.h>
615
        #include <stddef.h>
616
        #include <assert.h>
617
        int main() {
618
          void *p = calloc(1, sizeof(float)); assert (p != NULL);
619
          // is this free of undefined behaviour?
620
          float f = *((float *)p);
621
          printf("f=%f\n",f);
        }
622
623
624
```

For this variant where calloc does initialise to zero, Jens suggests the program should be well defined (but the current standard text still makes this undefined).

# <sup>628</sup> Q78. After writing one member of a structure to a malloc'd region, can its<sup>629</sup> other members be read?

```
630
        // effective_type_7.c
631
        #include <stdio.h>
632
        #include <stdlib.h>
633
        #include <stddef.h>
634
        #include <assert.h>
        typedef struct { char c1; unsigned int ui1; } st1;
635
        int main() {
636
          void *p = malloc(sizeof(st1)); assert (p != NULL);
637
          ((st1 *)p) -> c1 = 'A';
638
          // is this free of undefined behaviour?
639
          unsigned int ui = ((st1 *)p)->uil;
640
          printf("ui=%d\n",ui);
641
        }
```

642

649

If the write should be considered as affecting the effective type of the footprint of the
 entire structure, then it would change the answer to effective\_type\_5.c here. It seems
 unlikely but not impossible that such an interpretation is desirable.

<sup>646</sup> There is a defect report (which?) about copying part of a structure and effective types. <sup>647</sup>

### 648 2.9 Properly overlapping objects

# Q81. Can one access two objects, within a malloc'd region, that have overlap-ping but non-identical footprint?

Robbert Krebbers asks on the GCC list (https://gcc.gnu.org/ml/gcc/2015-03/msg00083. 652 html) whether "GCC uses 6.5.16.1p3 of the C11 standard as a license to perform certain 653 optimizations. If so, could anyone provide me an example program. In particular, I am 654 interested about the 'then the overlap shall be exact' part of 6.5.16.1p3: If the value being 655 stored in an object is read from another object that overlaps in any way the storage of 656 the first object, then the overlap shall be exact and the two objects shall have qualified or 657 unqualified versions of a compatible type; otherwise, the behavior is undefined." Richard 658 Biener replies with this example (rewritten here to print the result), saying that it will be 659 optimised to print 1 and that this is basically effective-type reasoning. 660

```
661
        // krebbers_biener_1.c
662
       #include <stdlib.h>
663
       #include <assert.h>
       #include <stdio.h>
664
        struct X { int i; int j; };
665
        int foo (struct X *p, struct X *q) {
666
          // does this have defined behaviour?
667
          q -> j = 1;
668
          p - > i = 0;
669
          return q->j;
670
       }
671
       int main() {
672
          assert(sizeof(struct X) == 2 * sizeof(int));
673
          unsigned char *p = malloc(3 * sizeof(int));
          printf("%i\n", foo ((struct X*)(p + sizeof(int)),
674
675
676
```

677

}

(**struct** X\*)p));

678

#### 679 680 2.10 Examples from Jens' visit

The interaction between out-of-bound pointer arithmetic checks (at the level of subobject) and unions is problematic. In the following, a choice needs to be made regarding which subobject is being accessed by the last line of the main function. If it is the array inside the first member of the union, the access is out of bound. But if it is the array in the second member of union, this program is well defined.

```
686
        // effective_type_jens_1.c
687
        struct T{
688
          union U {
689
            struct T1 {
690
               int x[2];
691
            } st1;
            struct T2 {
692
              int y[3];
693
            } st2;
694
          } un;
695
          char c;
696
        } z;
697
698
        int main(void)
699
        {
700
          int *p = (int*)( (char*)(&(z.c)) - offfsetof(struct T, c) );
701
          p[2] = 10; // this is a defined access to z.un.st2.y[2] ?
        }
702
703
```

One could think of making the semantics "angelic", but the following variant shows it is not clear how to do so.

```
// effective_type_jens_1b.c
706
        struct T{
707
          union U {
708
            struct T1 {
709
               int x[2];
710
               int y[3];
711
            } st1;
712
            struct T2 {
713
               int x[3];
714
               int y[2];
            } st2;
715
          } un;
716
          char c;
717
        } z;
718
719
        int main(void)
720
        ł
721
          int *p = (int*)( (char*)(&(z.c)) - offfsetof(struct T, c) );
722
          p[2] = 10; // what's happening here?
723
        }
724
725
        // effective_type_jens_2.c
726
        struct S {
727
728
```

```
int x;
729
        };
730
731
        struct T {
732
          int y;
733
        };
734
        int main(void)
735
        {
736
          int *p = malloc(sizeof *p);
737
          *p = 5;
738
739
          struct T *q = (struct T*)p;
740
          q -> y = 10;
741
742
          struct S *s = (struct S*)p; // is s a valid pointer to a fully initialised "struct S" object?
743
        }
744
745
```

## $\frac{140}{746}$ 2.11 Hubert's examples

These examples show that current compiler behaviour is not consistent with the ISO C
notion of effective types that allows type-changing updates within allocated regions simply
by memory writes.

750 This was his first example:

```
751
        // effective_type_hubert_1.c
752
        #include <stdlib.h>
753
        #include <string.h>
754
        typedef struct A { int x, y; } A;
755
        typedef struct B { int x, y; } B;
756
757
        //__attribute__((__noinline__, __weak__))
758
        B *newB(void *p) {
759
            static const B b = { 0 };
760
            return (B *)memcpy(p, &b, sizeof b);
761
        }
762
763
        int main(void) {
          static const A a = { 0 };
764
765
          A *const ap = (A *)malloc(sizeof a);
766
          memcpy(ap, &a, sizeof a);
767
768
          B *const bp = newB(ap);
769
          bp - y = 42;
770
          ap->y = 0; // Hubert says: I think this should be UB.
771
                     // Both Clang and GCC will not expect
772
                     // this to alias bp->y under TBAA.
773
          return bp->y; // 42?
        }
774
775
       This was his example from the 2019-05-28 teleconf:
776
777
            #include <stdlib.h>
778
            #include <stdio.h>
779
780
```

16 Peter Sewell, Kayvan Memarian, Victor B. F. Gomes, Jens Gustedt, and Hubert Tong

```
typedef struct A { int x, y; } A;
781
             typedef struct B { int x, y; } B;
782
783
             __attribute__((__noinline__, __weak__))
784
            void f(long unk, void *pa, void *pa2, void *pb, long *x) {
785
               for (long i = 0; i < unk; ++i) {</pre>
                 int oldy = ((A *)pa)->y;
786
                 ((B *)pb) ->y = 42;
787
                 ((A *)pa2)->y = oldy ^ x[i];
788
              }
789
            }
790
791
            int main(void) {
792
               void *p = malloc(sizeof(A));
793
               ((A *)p) ->y = 13;
794
               f(1, p, p, p, (long []){ 0 });
795
               printf("pa->y(%d)\n", ((A *)p)->y);
796
            }
797
```

<sup>797</sup> He tried gcc and clang on x86 and POWER, with optimisation. The compiler thinks the <sup>798</sup> write to  $\{(B*)pb\}$ ->y is constant, so compilers lift or sink out of loop, clobbering the value <sup>799</sup> of ((A\*)p)->y, because they assume (B\*)pb and (A\*)pa / (A\*)pa2 don't alias.

<sup>800</sup> Changing to an int/float case makes no difference, so one couldn't work around this by <sup>801</sup> just looking at the access types:

How could we proceed? Some conceivable options are below, but many look quite
unappealing.
(1) I have a finite and f

(1) add syntactic clues for type-changing updates, along the lines of the C++ placement new and launder

(2) provide an analogue of -fno-strict-aliasing on finer granularities

(1) provide an analogue of the secret activity on line granuations
 (3) specify the two dialects, with and without -fno-strict-aliasing, with the latter having a blanket prohibition on type-changing updates
 (4) here a structure activity of an line granuations

- (4) change compilers to remove this use of TBAA
- (5) make function arguments all restrict
- (6) use Hal's TBAA sanitiser (or other tools) to survey how common type-changing up dates are in practice, in code bases that are compiled without -fno-strict-aliasing
   (7) all a strict aliasing
- (7) add new qualifier that lets one say the compiler can assume the types don't change

### 815

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